

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
FOOD AND DRUG ADMINISTRATION  
CENTER FOR DRUG EVALUATION AND RESEARCH

ONCOLOGIC DRUGS ADVISORY COMMITTEE OPEN  
SESSION

67th Meeting

Thursday, June 7, 2001  
8:15 a.m.

Holiday Inn Bethesda Versailles Ballroom  
8120 Wisconsin Avenue Bethesda, Maryland

## PARTICIPANTS

Stacy Nerenstone, M.D., Chair  
Karen M. Templeton-Somers, Ph.D., Executive  
Secretary

## MEMBERS

Kathy S. Albain, M.D.  
Douglas W. Blayney, M.D. John  
T. Carpenter, Jr., M.D.  
Stephen George, Ph.D.  
David P. Kelsen, M.D.  
Donna Przepiorka, M.D., Ph.D.  
Bruce G. Redman, D.O.  
Victor M. Santana, M.D. George W.  
Sledge, Jr., M.D. Sarah A. Taylor, M.D.  
Jody L. Pelusi, F.N.P., Ph.D., Consumer  
Representative

## GUESTS AND GUEST SPEAKERS (Non-Voting)

Steven D. Averbuch, M.D.  
Carl F. Dixon  
Robert Erwin  
Ruth Linden, Ph.D. Jan Platner  
Robert Spiegel, M.D.

## FDA

Dr. Robert Temple Dr. Richard Pazdur Dr. Grant Williams Dr.  
Patricia Keegan  
Ms. Patricia Delaney

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1 P R O C E E D I N G S

2 Call to Order and Opening Remarks

3 DR. NERENSTONE: Good morning. First of  
4 all, I would like to thank everyone for coming to  
5 ODAC. This morning we are going to be discussing  
6 the single patient use of non-approved oncology  
7 drugs and biologicals.

8 I would like to start with the  
9 introduction of the committee, and if we could go  
10 around and have everybody state their name and  
11 where they are from into the microphone for the  
12 record, please. We will start with Dr. Averbuch.

13 Introduction of the Committee

14 DR. AVERBUCH: Steve Averbuch,  
15 Astra/Zeneca Pharmaceuticals.

16 DR. SPIEGEL: Bob Spiegel,  
17 Schering-Plough.

18 DR. LINDEN: Ruth Linden, UC/San Francisco  
19 and UC/Berkeley, University of California.

20 MS. PLATNER: Jan Platner, National Breast  
21 Cancer Coalition.

22 MR. ERWIN: Robert Erwin, Marti Nelson  
23 Cancer Research Foundation.

24 DR. BLAYNEY: Doug Blayney, Wilshire  
25 Oncology Medical Group. Pasadena, California.

1 DR. KELSEN: Dave Kelsen, Sloan-Kettering,  
2 New York.

3 DR. PELUSI: Jody Pelusi, Phoenix Indian  
4 Medical Center and the Consumer Rep.

5 DR. TAYLOR: Sarah Taylor, University of  
6 Kansas.

7 DR. NERENSTONE: I am Stacy Nerenstone,  
8 Medical Oncology, Hartford Hospital.

9 DR. TEMPLETON-SOMERS: Karen Somers,  
10 Executive Secretary to the committee, FDA.

11 DR. GEORGE: Stephen George, Duke  
12 University.

13 DR. SLEDGE: George Sledge, Indiana  
14 University.

15 DR. REDMAN: Bruce Redman, University of  
16 Michigan.

17 DR. PRZEPIORKA: Donna Przepiorka, Cell  
18 and Gene Therapy, Baylor College of Medicine,  
19 Houston.

20 DR. CARPENTER: John Carpenter, University  
21 of Alabama at Birmingham.

22 DR. ALBAIN: Kathy Albain, Medical  
23 Oncology, Loyola University, Chicago.

24 DR. WEISS: Karen Weiss, Center for  
25 Biologics, FDA.

1 DR. WILLIAMS: Grant Williams, Center for  
2 Drugs, FDA.

3 MS. DELANEY: Pattie Delaney, Office of  
4 Special Health Issues, Cancer Liaison Program, FDA.

5 DR. PAZDUR: Richard Pazdur, FDA.

6 DR. TEMPLE: Bob Temple, Office Director,  
7 OD-1, FDA.

8 DR. NERENSTONE: Thank you. Dr.  
9 Templeton-Somers will now discuss the Conflict of  
10 Interest Statement.

11 Conflict of Interest Statement

12 DR. TEMPLETON-SOMERS: The following  
13 announcement addresses the issue of conflict of  
14 interest with regard to this meeting and is made a  
15 part of the record to preclude even the appearance  
16 of such at this meeting.

17 Since the issue to be discussed by the  
18 committee at this meeting will not have a unique  
19 impact on any particular firm or product, but  
20 rather may have widespread implications with  
21 respect to an entire class of products,  
22 in accordance with 18 U.S.C. Section 208(b),  
23 waivers have been granted to all members and  
24 consultants who have reported interests in any  
25 pharmaceutical companies.

1           A copy of these waiver statements may be  
2   obtained by submitting a written request to the  
3   FDA's Freedom of Information Office, Room 12A-30 of  
4   the Parklawn Building.

5           With respect to the FDA's invited guests,  
6   there are reported affiliations which we believe  
7   should be made public to allow the participants to  
8   objectively evaluate their comments.

9           Ruth Linden, Ph.D., would like to disclose  
10   for the record that she has provided consulting  
11   services for MGI Pharma regarding the development  
12   of an expanded access program. This service was  
13   provided January 2001 through February 2001 and may  
14   resume in the future. Her views on expanded access  
15   are described in the paper she presented at the  
16   December 2000 meeting of the Oncologic Drugs  
17   Advisory Committee.

18          Robert Erwin would like to disclose that  
19   he is founder, shareholder, and full-time employee  
20   of a large scale biology corporation. The firm is  
21   conducting research in medical fields including  
22   oncology.

23          Robert Spiegel, M.D., would like to  
24   disclose that he is the Senior Vice President of  
25   Medical Affairs and Chief Medical Officer of

1 Schering-Plough.

2 Steven Averbuch, M.D., would like to  
3 disclose that he is Senior Medical Director,  
4 Oncology, of Astra/Zeneca Pharmaceuticals and holds  
5 stock in Astra/Zeneca, Merck, and 3-Dimensional  
6 Pharmaceuticals.

7 In the event that the discussions involve  
8 any other products or firms not already on the  
9 agenda for which an FDA participant has a financial  
10 interest, the participants are aware of the need to  
11 exclude themselves from such involvement, and their  
12 exclusion will be noted for the record.

13 With respect to all other participants, we  
14 ask in the interest of fairness that they address  
15 any current or previous involvement with any firm  
16 whose products they may wish to comment upon.

17 Thank you.

18 Open Public Hearing

19 DR. NERENSTONE: We would like to start  
20 the next part, which is the open public hearing.

21 Karen Doran.

22 MS. DORAN: Good morning. I wish to thank  
23 the FDA for giving me another opportunity to  
24 address the Oncologic Drug Advisory Committee about  
25 the Gene Therapy Clinical Trial. For those who



1 were not in attendance at the December 2000  
2 meeting, let me briefly explain why I am here  
3 today.

4 My mother, Hazel Doran, had been approved  
5 to participate in the Gene Therapy Clinical Trial  
6 at the University of Pennsylvania in Philadelphia.  
7 She was well informed of the risks and benefits and  
8 decided gene therapy was her only hope in her fight  
9 against mesothelioma, a deadly form of lung cancer.

10 My mother was a non-smoker and was exposed  
11 to asbestos as a young adult. Upon the death of a  
12 young man from Arizona who was undergoing gene  
13 therapy, the FDA put a hold on any further gene  
14 therapy clinical trials. This prevented my mother  
15 from her only chance at a possible cure, and as we  
16 waited hopefully for her treatment to begin, over a  
17 three-month period, mother did not partake of any  
18 type of cancer therapy.

19 We finally found out about gene therapy  
20 being put on hold through the news media. No one  
21 at the medical center informed us of this momentous  
22 decision.

23 I am here today as an advocate for  
24 patients considering any clinical trial, the right  
25 to decisions, choice, and being informed.

1           Consider for a moment that you have a  
2   loved one missing in action during a war. You may  
3   never know if they are dead or alive. This is how  
4   my family feels. We will never know if the Gene  
5   Therapy Clinical Trial would have saved or extended  
6   my mother's life. That question will stay with us  
7   for the rest of our lives.

8           My mother was willing to take this chance,  
9   not only for herself, but for others, as well. She  
10   had hoped in addition to keeping her alive that  
11   medical science would also learn something from her  
12   gene therapy treatment that in turn might save  
13   someone else's life. That is why it is so  
14   important that those wanting to be a part of any  
15   clinical trial should have the opportunity to do  
16   so.

17           It is hard for me to understand how  
18   someone could decide to halt a clinical trial when  
19   there have been proven benefits and it is the only  
20   hope for a terminally ill person, like my mother.  
21   My mother, age 72 at the time, was active and  
22   involved with her family and community. She was  
23   determined to live.

24           When I spoke in December, someone said  
25   they could not understand how I could make this

1 presentation so soon after my mother's death. It  
2 is because of my mother that I have this strength.  
3 She instilled in me the right to stand up for what  
4 you believe. My family and I believe that cancer  
5 patients, along with their physicians, should have  
6 the right to decide if a clinical trial is right  
7 for them.

8           Isn't everything we do in life a trial?  
9 At one point, taking an aspirin was a trial as well  
10 as chemotherapy.

11           My family and I, on behalf of my mother,  
12 Hazel Doran, strongly encourage the Gene Therapy  
13 Clinical Trial to be reinstated. When a person is  
14 told they are going to die and that they might  
15 benefit from a clinical trial, they should have a  
16 choice.

17           When my mother was told she could not  
18 participate in this Gene Therapy Clinical Trial,  
19 all hope was taken from her. We could see an  
20 immediate change in her outlook on fighting this  
21 horrible disease. Even though mom put up a  
22 tremendous effort, she was finally defeated by the  
23 cancer because someone took away her right to  
24 decide what treatment options she had.

25           In the last remaining months of my mom's

1 life, there was very little we could do for her.  
2 However, one bright spot was her 72nd birthday.  
3 Have you ever given a birthday party for someone  
4 who is dying? It was probably the best thing we  
5 could have done for mom. It gave her friends the  
6 opportunity to visit her, wish her a happy  
7 birthday, and that is how they now remember my  
8 mother - in a very positive manner celebrating  
9 another year of life.

10 Please consider carefully when deciding if  
11 this Gene Therapy Clinical Trial should be  
12 permitted to begin at the University of  
13 Pennsylvania in Philadelphia. Think of my mother  
14 and think of someone else's loved one and the only  
15 possible hope that they have in fighting this rare  
16 deadly form of lung cancer.

17 Please consider carefully that this  
18 decision could mean someone celebrating another  
19 birthday with their family. My mother's birthday  
20 passed this year - we honored her by placing  
21 flowers on her grave. We would have rather placed  
22 them in her hands.

23 Please consider the right for a patient to  
24 decide what is best for them when fighting deadly  
25 disease. Your decision may help prevent another

1 family from spending the rest of their lives asking  
2 What If?

3 Thank you.

4 DR. NERENSTONE: Thank you very much, Ms.  
5 Doran.

6 Susan Weiner.

7 MS. WEINER: Thank you for the opportunity  
8 to speak to the FDA Oncologic Drug Advisory  
9 Committee on this important issue.

10 I am Susan Weiner, President and Founder  
11 of the Children's Cause, a patient and family led  
12 education and advocacy group dedicated to improving  
13 outcomes for childhood cancer. I was also the  
14 mother of Adam Weiner, who lived and died with a  
15 brain tumor.

16 I addressed this group briefly in December  
17 and am grateful for the chance to speak again. At  
18 that time, I emphasized the Children's Cause  
19 position on single patient use in children, that it  
20 should be unnecessary. We argued for the ideal  
21 that there should be a comprehensive, tightly  
22 organized, and proactive national clinical trials  
23 program through the Children's Oncology Group, so  
24 that any child with cancer might qualify for an  
25 open trial.

1           Single patient use of non-approved drugs  
2 represents a special threat in pediatric oncology  
3 because treatment outside of a clinical trial is  
4 not consistent with the high quality care that has  
5 saved so many children's lives. It is also a  
6 threat because children with cancer are a precious  
7 and scarce resource from which we must learn how to  
8 improve treatment for others.

9           I support this position because I believe  
10 it is best for children struggling with cancer and  
11 those yet to be diagnosed, but I wanted to speak  
12 today about what so-called compassionate use was  
13 like from my own personal perspective, to highlight  
14 the conflict from the other side. It is still very  
15 difficult for me to talk publicly about my son  
16 Adam's experience even these many years later, so  
17 forgive me.

18           Adam was never expected to live beyond his  
19 brain tumor diagnosis in infancy, but live he did  
20 until he was nearly 14 years old. Three months  
21 before Adam died, he experienced among other things  
22 status epilepticus. For many, many days he had  
23 constant uncontrollable seizures. His doctors from  
24 one of the nation's best academic medical centers  
25 discussed applying for what they called

1    compassionate use of a drug that might stop the  
2    seizures. A few days later they told me that it  
3    was not possible for him to have access to this  
4    experimental anticonvulsant.

5                I neither knew what made him eligible nor  
6    ineligible, nor what process was necessary to  
7    obtain the drug. The impact was clear, however,  
8    hope of ending this nightmare had been introduced  
9    with language that conveyed sensitivity to our  
10   desperate circumstances, only to be withdrawn for  
11   reasons that were not clear, and when access that  
12   could be considered compassionate was no longer  
13   possible, all hope and options were gone.

14               The issues of language, terminology,  
15   consistency, and communication were at the heart of  
16   much of the misunderstanding and distress that  
17   parents and patients have about access to new drugs  
18   in clinical research. The unfortunate term  
19   "compassionate use" needs to be dispensed with  
20   entirely. FDA documents no long use the phrase,  
21   but it still appears in the NCI document on  
22   non-research use of investigational agents.

23               The phrase persists among physicians and  
24   families as a code phrase for our best hope, but it  
25   is a misnomer. There are other terms in current

1 use in FDA and NCI materials that need replacing  
2 and clarification.

3 For example, FDA's background materials  
4 for this meeting cite investigational use versus  
5 treatment use of investigational drug. Treatment  
6 presumes that a drug is known to be therapeutic.  
7 Drugs considered for single patient use are all  
8 investigational and therefore have unknown  
9 therapeutic effect.

10 Clinical trials are also always  
11 investigational, research, and not treatment,  
12 according to the consent forms that we sign. So,  
13 how can giving an investigational drug with unknown  
14 therapeutic effect be treatment when it is used for  
15 a single patient, but not treatment when it is used  
16 in the context of a clinical trial?

17 Clearly, there is need for linguistic  
18 overhaul both at the FDA and the NCI in the  
19 description of single patient use. Beyond  
20 terminology, however, FDA and NCI need to adopt  
21 open and consistent rules and policies on single  
22 patient use.

23 The National Cancer Institute sets the  
24 policies, standards, and procedures for the conduct  
25 of clinical trials through the National Pediatric



1 Cooperative Groups. If these are indeed the best  
2 ethical and scientific strategies to guide  
3 children's access to new oncology drugs, then, they  
4 should apply equally to access through clinical  
5 trials, special exception, and single patient use  
6 for children.

7 For families seeking care, clarity,  
8 consistency, and access to information in this  
9 complex domain are vital to making sound and  
10 rational decisions for our children. Accordingly,  
11 we make the following recommendations.

12 FDA and NCI should coordinate efforts to  
13 develop a common, nonvalue-laden set of terms with  
14 clear and precise definitions to describe single  
15 patient use. FDA and NCI should develop  
16 consistent, open, and publicly accessible policies  
17 about single patient use. Such an approach can  
18 avoid unequal access to new drugs and help preserve  
19 the clinical trial system.

20 To implement these changes, FDA and NCI  
21 should coordinate a communication strategy for  
22 print and electronic materials to educate and  
23 change public and professional perception about  
24 so-called compassionate use.

25 We applaud the FDA for holding these

1 meetings and for allowing an in-depth look at these  
2 important issues.

3 Thank you.

4 DR. NERENSTONE: Thank you very much for  
5 your thoughtful comments.

6 Helen Schiff.

7 MS. SCHIFF: Good morning. My name is  
8 Helen Schiff. I have no conflict of interest. I  
9 am a member of SHARE, a self-help group for women  
10 with breast or ovarian cancer based in New York  
11 City.

12 Today, I am speaking for SHARE members who  
13 have graduated from Project LEAD, a breast cancer  
14 advocacy training course. We meet monthly to  
15 discuss controversial issues facing women with  
16 breast cancer. I will present the evolution of our  
17 group's thinking on access to unproven drugs at  
18 three long intense meetings.

19 At our first meeting, most members of our  
20 group expressed total disbelief at the idea that  
21 advocates could be against single patient  
22 protocols, how could SHARE deny a dying woman the  
23 right to an unproven drug, SHARE should not close  
24 off any avenues of hope even false hope.

25 About one-third of our 20-member group

1 have metastatic breast cancer. At our next meeting  
2 we discussed the importance of not doing anything  
3 that would undermine the clinical trial system. We  
4 were all aware of the high dose chemotherapy  
5 fiasco, how lives can needlessly be cut short and  
6 how valuable time in which treatment advances can  
7 be made is wasted when experimental treatment is  
8 given outside of trials.

9           Still, the majority of our group felt that  
10 there must be a way to allow the use of unproven  
11 drugs that would not undercut the clinical trial  
12 system.

13           Next, we saw a videotape of a Sixty Minute  
14 segment which interviewed two women with advanced  
15 colon cancer. Both were trying to get the  
16 experimental drug C225. Neither of them qualified  
17 for the clinical trial. The both spent hours  
18 searching online, writing letters, and calling  
19 influential people.

20           In the end, the woman who devised the  
21 strategy of phoning the president of the drug  
22 company before his secretary was there to screen  
23 his calls got the drug. The woman who wrote to the  
24 President of the United States did not.

25           Jane Sawyer, a member of our LEAD group,

1 who had been metastatic for four years sent me a  
2 note saying I am convinced. She said it was  
3 painful to watch what those women went through.  
4 The results were unfair. I would rather be in a  
5 lottery.

6 Most of us then agreed that single patient  
7 protocols are anything but compassionate. They are  
8 very unfair and arbitrary and discriminate against  
9 people who are not highly educated or well  
10 connected.

11 At our final meeting, we came to a  
12 consensus that the real problem was with the  
13 clinical trial system itself. We need more high  
14 quality trials using novel agents. We need more  
15 access and faster enrollment. We need to test  
16 drugs in earlier stages of disease and later stages  
17 of disease, and in case of the new biologics, with  
18 and without chemotherapy.

19 We think any attempt to use access to  
20 unproven drugs is a way around the shortcomings of  
21 the clinical trial system will ultimately be a huge  
22 disservice to cancer survivors because trials are  
23 the only way to know if a new drug is better, no  
24 different, or worse than the standard of care.

25 We agreed that expanded access, not single

1 patient protocol, is the only fair way to provide  
2 access to unproven drugs and that it should be  
3 encouraged only: one, when the drug has  
4 exceptional promise due to very strong evidence in  
5 humans, not just good PR or elegant-sounding  
6 hypotheses; two, when it has a good safety profile;  
7 and, three, when the person does not qualify for  
8 any other high-quality trial.

9           A member of SHARE, who is an ovarian  
10 cancer survivor, at our meeting argued against this  
11 proposal, stating that ovarian cancer patients have  
12 fewer choices and need broader access to unproven  
13 drugs.

14           Speaking for myself, from what I know  
15 about Gleevec, that is a good example of the kind  
16 of exceptional drug we are talking about that  
17 should be, and was, made available by expanded  
18 access. I can't think of any others including  
19 Herceptin, that would fit into that category.

20           Gleevec's use, first in trials of CML  
21 leukemia and then for a rare form of intestinal  
22 cancer, speaks to the question raised by those with  
23 less common cancers. More and more we are looking  
24 at therapies, not by organ, breast, ovary, colon,  
25 et cetera, but by mutation, HER2, ras, EGFR, et

1 cetera.

2           Isn't it more rational and compassionate  
3 to set up different trials in other cancers with  
4 the same mutation than to give it to people who  
5 have little chance of benefiting from it? Isn't it  
6 more rational if extra drug is available to set up  
7 trials in later or early stages of disease, so we  
8 can actually learn something?

9           Are the drug companies being forced to  
10 give out unproven drugs for fear of bad PR, like  
11 the insurance companies were forced to pay for  
12 high-dose chemotherapy outside of trials?

13           We have too few people entering clinical  
14 trials. FDA and ODAC need to formulate a policy  
15 that will not undercut the clinical trial system.  
16 That is what is best for the interest of present  
17 and future cancer patients.

18           This is a statement of SHARE's Project  
19 Lead group. SHARE itself is still formulating its  
20 position.

21           DR. NERENSTONE: Thank you very much.

22           The next comments will be in the form of a  
23 letter from Dr. Queimado. Dr. Templeton-Somers  
24 will be reading.

25           DR. TEMPLETON-SOMERS: This letter is from

1 Lurdes Queimado, who is a lymphoma advocate.

2 "Dear Sirs and Madams: I am a founding  
3 member of the Lymphoma Action Alliance, an advocacy  
4 group created to help lymphoma patients gain access  
5 to the best and least toxic cancer treatments -  
6 when they are most likely to be effective.  
7 Professionally, I am an M.D./Ph.D., working full  
8 time in cancer research.

9 In low-grade Non-Hodgkin's lymphoma  
10 patients, chemotherapy and/or radiotherapy are  
11 relatively effective in temporarily reducing the  
12 patient's tumor burden. However, these therapies  
13 do not cure the disease, nor do they increase the  
14 overall survival. Therefore, chemotherapy and/or  
15 radiotherapy should not be considered standard  
16 treatments for this disease.

17 Indeed, this fact is recognized by every  
18 lymphoma specialist. For example, Dr. Dan Long,  
19 working at the NCI, wrote recently, "A patient with  
20 follicular lymphoma might hear from his or her  
21 physician treatment recommendations ranging from  
22 high-dose chemotherapy with stem cell  
23 transplantation to doing nothing and every  
24 gradation in between.

25 Patients with low-grade non-Hodgkin's

1 lymphoma understand the significant short- and  
2 long-term risks associated with chemotherapy and  
3 radiation, which include secondary malignancies,  
4 myelosuppression, organ dysfunction (cardiac,  
5 pulmonary and endocrine), neuropsychological  
6 effects, and degraded quality of life.

7           They know that the benefits will be  
8 short-lived and that repeated and increasingly less  
9 effective retreatments will be needed to control  
10 the disease. Based on this, patients with  
11 low-grade NHL often seek clinical trials as  
12 front-line therapy, but they often find that these  
13 trials are closed to previously untreated patients.  
14 Single patient exemptions are also systematically  
15 refused for these patients.

16           The reasons for this may be the widely  
17 accepted belief that all cancer patients should  
18 first receive the standard therapies and only when  
19 these therapies fail should they look for a  
20 clinical trial. It is illogical to apply this rule  
21 to low-grade NHL patients for the following  
22 reasons:

- 23           1. The approved therapies are not  
24 curative.
- 25           2. Approved therapies have known and



1 serious short- and long-term side effects.

2           3. These therapies can cause permanent  
3 damage, undermining the patient's ability to  
4 benefit from emerging therapies, such as vaccines  
5 and monoclonal antibodies.

6           4. Emerging therapies often attack  
7 specific targets and are less toxic.

8           5. Standard therapies can be used later  
9 if needed.

10           Many thousands of low-grade NHL patients  
11 are diagnosed every year. The majority of these  
12 patients, based on the survival statistics, should  
13 be treated in clinical trials. Since these  
14 patients cannot be absorbed by the available  
15 clinical trials, they should be granted single  
16 patient exemptions in order to access the most  
17 promising treatments. However, as described above,  
18 they are generally refused admission into studies  
19 because they have not yet received all possible  
20 standard therapies first.

21           It is urgent that the FDA, working with  
22 activists and patients, develop policies to  
23 facilitate expanded access and single patient INDs  
24 while assuring that meaningful data is collected.  
25 It is also urgent that incentives are developed to

1     assure that drug companies will be willing to  
2     participate in expanded access and single patient  
3     INDs.

4             Finally, since cancer is a  
5     life-threatening disease, expanded access and  
6     single patient INDs should be made available as  
7     soon as a drug has been proven safe and has shown  
8     efficacy, as was done with AIDS drugs over ten  
9     years ago. Thank you.

10            Lurdes Queimado, M.D./Ph.D., Lymphoma  
11     Advocate."

12            A copy of her statement is available at  
13     the reception desk out there for those in the  
14     audience who want to see it, and she does have  
15     references cited.

16            The second letter was received late last  
17     evening from Sally Cooper of NABCO.

18            "Dear ODAC Committee Members: Good  
19     morning. I am the Director of Information Services  
20     for the National Alliance of Breast Cancer  
21     Organizations, a national nonprofit information and  
22     education resource since 1986.

23            I personally have no financial interest in  
24     any pharmaceutical, biotech, medical device, or  
25     trial management company, but NABCO does receive

1   unrestricted educational grants from several  
2   pharmaceutical companies.

3               In addition to my current position, I also  
4   bring some perspective from 11 years of working in  
5   the HIV/AIDS epidemic, often directly on issues of  
6   early access to investigational agents.

7               In that capacity, I have worked with the  
8   FDA, numerous companies and researchers on single  
9   agent access, treatment INDs, and the design,  
10   delivery and publicizing of a number of expanded  
11   access programs for people living with HIV and  
12   AIDS. I would like to thank you all for providing  
13   us with this opportunity to speak and thank you as  
14   well for taking the time and effort to initiate a  
15   broad discussion about this confusing area.

16              I would like to start with two  
17   observations.

18              One. Something that has always dogged  
19   these discussions is a lack of data. We tend  
20   towards anecdotes and seemingly common-sense  
21   statements, but without data, no ethnographic or  
22   detailed qualitative research about who is getting  
23   early access and why, who chooses and who refuses  
24   to go in a trial in the face of expanded access;  
25   how many INDs are granted for what indications;

1    what useful data has been collected through  
2    expanded access programs, et cetera.

3               The missing data is worrisome. It can be  
4    problematic to develop policy without an  
5    evidence-based understanding of what has been  
6    happening. The solution may not work well, or  
7    worse, it may result in unwanted ramifications that  
8    no one was able to foresee without a better initial  
9    understanding.

10              Thus, before any significant change in the  
11    current policy is enacted, we would ask for some  
12    quantitative and qualitative research to better  
13    understand what is truly problematic in this arena,  
14    and what is not.

15              Two. It is very important conceptually to  
16    separate expanded access programs from single  
17    patient compassionate use. For example, there is  
18    little data but much concern that expanded access  
19    may interfere with trial accrual. However, it is  
20    inconceivable that the small number of individuals  
21    getting compassionate use could seriously interfere  
22    with accrual, especially in light of the relatively  
23    small sized trials in advanced cancers.

24              One major difference is that expanded  
25    access is a program. Single patient compassionate

1 use is not a program. It is simply a mechanism or  
2 opportunity that companies, clinicians and patients  
3 sometimes use. Whether and how much we want to  
4 turn it into a process should be carefully thought  
5 out, as real questions of flexibility may be  
6 compromised.

7 When looking at single patient  
8 compassionate use, several areas of concern stand  
9 out.

10 One area is the possibility of causing  
11 more harm than good. These are investigational  
12 agents being offered to patients with advanced  
13 disease who have run out of conventional treatment  
14 options. Some argue what's the point since there  
15 is little reason, honestly, to expect much benefit  
16 in this setting? Doesn't the possibility of harm  
17 outweigh any possible good? What is the good faith  
18 in this?

19 In addition, how can we be sure that  
20 patients really understand the possibility of harm  
21 here? How good is the informed consent process  
22 when the stakes are so high, and so little is known  
23 about the compound? As noted, this situation may  
24 be worsened by the existence of embargoed  
25 information that would be useful for the clinician

1 and patient to know - although this is something  
2 surely we can fix with confidentiality agreements  
3 and the like.

4 Well, the folks who testified in December  
5 answered these questions rather well, I think -  
6 individuals with serious illness or rare diseases  
7 going about their lives, hoping for the possibility  
8 of extending their lives further, often having  
9 already paid their dues in one or more clinical  
10 trials - not exactly the picture of desperate,  
11 ill-informed people believing in a miracle cure.

12 I think the FDA has widely kept this door  
13 open in the face of extreme complexity of illness,  
14 and recognizing an ethical need not to simply close  
15 off all access. We do not know what may come down  
16 the pike, we cannot anticipate what someone may  
17 need access to, and rather than pretend to, this  
18 mechanism was created to allow for a discussion  
19 when the possibility arises that this may be a  
20 source of treatment.

21 Single patient access is not a program,  
22 but a negotiation because so much is not known  
23 either about the drug or how it may work in an  
24 individual patient. This discussion serves an  
25 important purpose, as a sort of discovery phase in

1    which all players can decide whether it is worth  
2    going forward or not.

3               This leads to another issue - equity.  
4    Others have discussed this at length and it is  
5    clearly already high on the FDA's list of concerns.  
6    We also lack data about this, and I would caution  
7    those who assume that it is solely the well  
8    connected who succeed in this area.

9               Facing a terminal diagnosis can have a  
10   profound energizing effect on families and  
11   individuals, and a number of folks fight for early  
12   access who would never have thought about doing  
13   anything like this before. It can be a deep  
14   educational and politicizing experience. But it is  
15   clearly unfair how randomly compassionate use  
16   occurs.

17              One solution calls for better public  
18   education (including for clinicians) about the  
19   existence and procedures of this mechanism.  
20   However, increased public awareness will only ease  
21   some but not much of the current inequity.

22              Compassionate use is a time-consuming,  
23   negotiated process that few medical centers will be  
24   able to offer. With our multi-tiered health care  
25   system, this means that folks with excellent

1 insurance or resources may have this access, and  
2 others with fewer resources probably will not.

3 Another approach to the problem of equity  
4 is to recognize that right now, too much is being  
5 asked of the compassionate use mechanism. Far too  
6 often, it's the only early access option, and  
7 filling in when expanded access programs should be  
8 considered.

9 Equity issues can and should be earnestly  
10 addressed through other early access mechanisms,  
11 such as expanded access and parallel track  
12 programs, administered through multiple venues such  
13 as the VA and public hospital systems, with  
14 national IRBs and shared staffing support, when the  
15 nature of the Phase II data, drug supply, safety  
16 and toxicity data and disease condition warrant  
17 such distribution. These options have been used in  
18 HIV, so we know they are possible. With many new  
19 compounds in the pipeline, it's time to make a  
20 broader social commitment to fair expanded access  
21 programs when prudent and feasible.

22 Finally, one area that we can all improve  
23 in is our communication with patients. It's time  
24 to let patients know what's going on. The doctor  
25 who fails to get the IRB paperwork in, the company



1     reluctant or unable to release drug, the FDA unsure  
2     of how to figure out the safety/efficacy profile,  
3     the community-based program overly excited by a  
4     press release - we are all part of the problem when  
5     we fail to tell patients our plans, our mistakes,  
6     what we really can do and what we can't.

7             It is always much easier to hear the truth  
8     and cope with it than get stonewalled. There are  
9     real supply problems, real safety issues, serious  
10    efficacy questions and always the real problem of  
11    time and resources to get things done well.

12            The more information that flows, the more  
13    we work together on building functional expanded  
14    access - the less resonant all the media hype will  
15    be. The recent 60 Minutes piece would have been  
16    very different if the company in question had early  
17    on held community meetings and made an effort to  
18    address a natural and understandable phenomena;  
19    folks interested in trying their drug had very  
20    limited options otherwise.

21            Single patient compassionate access serves  
22    as an important source of hope and sometimes  
23    access. It's an imperfect, necessary bridge  
24    between our urgently important but contradictory  
25    twin social goals of developing effective therapies

1 and providing care for the individuals that we as a  
2 society have failed to find answers for yet.

3           What I learned from the HIV epidemic was  
4 that we can't simply choose one goal over the  
5 other, but must face squarely the challenge of  
6 trying to accomplish both. It's time as a society  
7 to learn to be more realistic about what emerging  
8 therapies can offer us, which in turn will enhance  
9 the effectiveness of the informed consent process.

10           At the same time, we can also communicate  
11 better, all the players, so that where possible  
12 patients will be able to see and experience a  
13 society that is committed to providing equitable  
14 early access when prudent and possible. That  
15 brings us full circle to hope, that very human of  
16 emotions, which sustains all of us.

17           Thank you very much. Sally Cooper,  
18 Director, NABCO Information Services."

19           DR. NERENSTONE: Thank you, Karen.

20           I would like to turn now to the Summary of  
21 Regulatory and Industry Considerations.

22           Dr. Williams is going to lead the  
23 discussion.

24           Single Patient Use of Non-Approved  
25           Oncology Drugs and Biologics

1 Introduction

2 Summary of Regulatory and Industry Considerations

3 Grant Williams, M.D.

4 DR. WILLIAMS: Madam Chairman, Committee  
5 Members, ladies and gentlemen: In the next 20  
6 minutes I will summarize presentations made by  
7 speakers from FDA, from the pharmaceutical industry  
8 at our last session on treatment use of  
9 investigational drugs.

10 [Slide.]

11 You will have to excuse the title. After  
12 that last speaker, I still have treatment in there,  
13 and unless we can come up with another name, I  
14 guess it is going to stay there for now.

15 [Slide.]

16 First, I will review the regulatory  
17 background that I presented for FDA, then,  
18 summarize the points on single patient use of  
19 investigational drugs made by Dr. Robert Spiegel  
20 from Schering-Plough, and finally, I will summarize  
21 the presentation on expanded access made by Dr.  
22 Gerard Kennealey from Astra/Zeneca.

23 We are very appreciative to Dr. Spiegel  
24 and Dr. Kennealey for providing such an instructive  
25 and honest view of how treatment use of

1     investigational drugs affects the pharmaceutical  
2     industry, and for providing us with ideas of how  
3     this process might be improved.

4             [Slide.]

5             Again, the objectives for this meeting are  
6     to educate the public on the issues surrounding the  
7     treatment use of experimental cancer drugs and,  
8     especially for this meeting, to get advice and  
9     input of when it is appropriate for FDA to allow  
10    experimental drugs to be used for treatment use of  
11    individual cancer patients.

12            Note that FDA has only a partial role,  
13    that is, defining the rough boundaries within which  
14    treatment use is appropriate. From discussions we  
15    heard from patients, discussions which were echoed  
16    by a TV spot on 60 Minutes about compassionate use  
17    a few weeks ago, it is clear there are other issues  
18    that are very important to patients, such as when  
19    should a company provide access to experimental  
20    treatment and how should the drug be distributed,  
21    so that patients are treated fairly.

22            We believe that these issues should be  
23    addressed in a consensus conference in the near  
24    future, a conference to include representatives  
25    from the two main parties - the pharmaceutical

1 industry and cancer patients and their advocacy  
2 groups.

3 We believe that the Food and Drug  
4 Administration and the National Cancer Institute  
5 can play important roles in facilitating this  
6 dialogue. When everyone agrees upon a set of norms  
7 for treatment use of experimental drugs, both  
8 patients and industry will benefit.

9 So, there is the framework for today.  
10 Today, we are asking when should FDA allow  
11 treatment use of experimental drugs.

12 [Slide.]

13 First, I want to review a few definitions  
14 that we talked about last time. All use of  
15 experimental drugs is regulated by FDA under an  
16 IND. An IND is an Investigational New Drug  
17 application.

18 There are several individuals involved in  
19 the process of an IND. First, there is the IND  
20 sponsor. The sponsor is the individual company or  
21 institution that assumes responsibility for  
22 overseeing the study, for assuring that the  
23 regulations are followed, and for reporting to FDA  
24 on the progress of the study. The sponsor may or  
25 may not be the manufacturer of the drug.

1           Next, there is the investigator. The  
2 investigator is that individual that actually  
3 performs the trial or administers the drug, and at  
4 times, and quite often in these circumstances, at  
5 least with single patient INDs, the investigator  
6 and the sponsor are the same person.

7           [Slide.]

8           The usual purpose of an IND is to allow  
9 for clinical investigations to determine whether a  
10 drug is safe and effective. If findings from the  
11 studies are favorable, the sponsor will then submit  
12 all of the data from these investigations to the  
13 FDA to determine whether the drug can be approved  
14 for marketing. In this way, the drug becomes  
15 widely available to the American public.

16           The FDA strongly endorses participation in  
17 clinical trials because it is in the best interest  
18 of the American public. It is in their best  
19 interest to determine whether a drug is safe and  
20 effective. Individual patients also benefit by  
21 participating in clinical trials.

22           The best treatments available are selected  
23 for these trials. However, there are times when it  
24 may be appropriate to make an investigational drug  
25 available primarily for treatment rather than for

1 the usual purpose of investigating safety and  
2 effectiveness.

3 [Slide.]

4 The terminology surrounding the treatment  
5 use of experimental drugs can be confusing because  
6 the regulations do not explicitly describe all of  
7 the practices. Different terms are frequently used  
8 for the same practices. Treatment use of  
9 experimental drugs may be divided into two main  
10 groups - single patient treatment use and expanded  
11 access treatment use, but these are not terms or  
12 programs described in the regulations. They are  
13 just useful descriptive terms.

14 Expanded access refers to multiple  
15 patients being treated under a single protocol.  
16 Single patient use, individual protocols or  
17 treatment plans are drawn up for each patient.

18 [Slide.]

19 Historically, there have been several  
20 different methods for providing expanded access.  
21 In the cancer area, since the 1970s, NCI has worked  
22 with the FDA to provide investigational drug under  
23 a mechanism called Group C. This mechanism was  
24 only for drugs provided by NCI.

25 In 1987, FDA developed an official program

1 described in the regulations called the treatment  
2 IND. That was for patients for any  
3 life-threatening disease, not just for cancer.  
4 Both of these mechanisms, Group C and treatment  
5 IND, are formal mechanisms for drugs that are very  
6 advanced in their development, usually within  
7 months of being marketed.

8 Over the years, expanded access protocols  
9 have also been approved for promising drugs under  
10 industry sponsorship, and not at the stage of  
11 development that qualifies for a treatment IND.  
12 Later, when I describe Dr. Kennealey's  
13 presentation, I will discuss one of those programs.

14 [Slide.]

15 Now, I will describe single patient use.  
16 Basically, with single patient use, the sponsor or  
17 physician requests to use drug. The drug supplier  
18 decides whether to offer drug for treatment use,  
19 the sponsor proposes a plan or a protocol, and then  
20 FDA decides whether to allow it.

21 There are two mechanisms for handling  
22 single patient use. In the first mechanism, the  
23 single patient IND, a new sponsor files a separate  
24 IND, and that sponsor often is an investigator.  
25 In the second mechanism, called the single



1 patient use exception, there is already an existing  
2 IND, there is an existing sponsor, and an  
3 investigational protocol. With single patient  
4 exception mechanism, a patient who is ineligible  
5 for investigational protocol is treated under a  
6 plan that usually is a slight modification of the  
7 existing protocol. The same IND and the same  
8 sponsor are used, and this is a more efficient  
9 mechanism for single patient treatment.

10 Obviously, the single patient mechanism of  
11 providing drug is the most laborious for all  
12 involved - for the patient, for the physician, the  
13 company, and for the FDA. However, this approach  
14 does provide the greatest individual oversight, and  
15 so it is appropriate for areas where difficult  
16 individual judgments must be made.

17 [Slide.]

18 So, what are the legal requirements?  
19 Legal requirements for single patient use are  
20 basically the same as those for any IND. There  
21 must be a drug manufacturer that will supply the  
22 drug, there must be a sponsor that reports to FDA,  
23 there must be an adequately trained investigator,  
24 there must be informed consent, and there must be  
25 IRB approval. Then, there must be concurrence by

1 FDA that there is sufficient evidence supporting  
2 the drug's efficacy and safety to allow treatment  
3 in that individual patient.

4 [Slide.]

5 The following are items that FDA considers  
6 in evaluating treatment use of experimental drugs:  
7 Evidence of drug activity and toxicity, other  
8 treatment options for the patient's cancer, whether  
9 the sponsor is conducting trials needed for  
10 marketing drug, and whether the proposed protocol  
11 is likely to interfere with clinical studies needed  
12 to approve whether the drug is safe and effective.

13 In the next slide, I would like to expand  
14 on the first two points because these points form  
15 the basis for today's FDA questions to the  
16 committee.

17 [Slide.]

18 The first important question is what  
19 evidence do we have about the drug's effect in  
20 people. One aspect of this question is to consider  
21 the stage of drug development, do we have data from  
22 Phase I, Phase II, Phase III studies, and then what  
23 do the data show, for instance, what is the  
24 response rate and what are the toxicities.

25 Second, is there effective therapy for the

1 patient's cancer, and if so, how effective is it.  
2 For diseases where there is no standard therapy or  
3 where a standard therapy is not satisfactory, FDA  
4 has usually permitted single patient use if the  
5 data suggest that the treatment is relatively safe.

6 Evaluating these points requires clinical  
7 judgment, and we look forward to the committee's  
8 discussion to assist us in making these judgments.

9 [Slide.]

10 At our meeting in December, we heard an  
11 excellent overview of industry concerns about  
12 treatment use of experimental drugs from two  
13 physicians who work for pharmaceutical firms - Dr.  
14 Robert Spiegel from Schering-Plough and Dr. Gerard  
15 Kennealey from Astra/Zeneca Pharmaceuticals. I  
16 want to briefly discuss points they made.

17 [Slide.]

18 Here are some of the concerns about  
19 treatment use of experimental drugs. First, there  
20 may be a limited drug supply early in drug  
21 development especially with some kinds of drugs.  
22 Drugs from these batches are scarce and are very  
23 expensive. Then, at some point in development,  
24 companies must decide whether the drug is showing  
25 enough promise to justify large Phase III studies

1 and then to convert from small batch manufacturing  
2 to large commercial manufacturing.

3 Before a company converts to commercial  
4 production, it may be unreasonable for the oncology  
5 community to expect them to provide large amount of  
6 drug for treatment use.

7 Next, there is the concern over  
8 competition between expanded access programs and  
9 the regulatory programs that will lead to drug  
10 approval. Competition can be either for patients  
11 entering trials or for internal company resources.  
12 Most expanded access programs exclude patients who  
13 are eligible for their Phase III regulatory trials  
14 to minimize this first concern.

15 Competition for company resources may  
16 occur at multiple levels, for example, in the  
17 packaging and shipping departments. The process of  
18 individualized packing and shipping of drug for  
19 single patient use on an emergent basis can be very  
20 disruptive to departments that are organized to  
21 pack and ship drug in a scheduled manner for  
22 clinical trials.

23 Another worry is that use in a less  
24 controlled setting will lead to more adverse  
25 reactions, raising potential safety concerns.

1           Lastly, industry seems to learn little  
2   about drug from single patient use. It is possible  
3   that something may be learned from expanded  
4   protocols, however.

5           [Slide.]

6           Next, Dr. Spiegel shared with us the  
7   complexity of the process of single patient use  
8   from the daily working experience in a company.  
9   First, there is the initial contact where the  
10  family or doctor tries to find the right person in  
11  the company to begin the dialogue, sometimes  
12  involving an extensive process of telephone tag.  
13          Ultimately, the project physician talks to  
14  the patient's oncologist. Next, the patient  
15  synopsis is submitted, FDA paperwork is submitted,  
16  and a protocol must be approved by FDA and by an  
17  IRB. Then, there are internal approval steps and  
18  the drug must be packaged and shipped.

19          [Slide.]

20          In addition, there are follow-up  
21  responsibilities including collection of adverse  
22  reaction reports, summarizing these adverse reports  
23  at intervals for FDA annual reports, and retrieving  
24  unused drug. Also, if a patient appears to be  
25  benefiting from drug, the company may need to

1 supply drug to the patients for a prolonged period  
2 of time.

3 So, I think we can see that committing to  
4 a program of supplying drug on a patient-by-patient  
5 basis is no small step for a company to consider.  
6 It could mean commitment of considerable resources.

7 [Slide.]

8 Dr. Spiegel suggested that we consider  
9 easing the burden of reporting for patients  
10 receiving drug under treatment use by only  
11 requiring collection of data from unexpected or  
12 serious adverse events. In reply, I think this is  
13 something that we could consider at times, but it  
14 would be on a case-by-case basis.

15 [Slide.]

16 The next industry speaker, Dr. Kennealey,  
17 addressed expanded access, that is, a procedure  
18 that allows multiple patients to be given  
19 experimental drug according to a carefully defined  
20 protocol.

21 As suggested by Dr. Kennealey, here are  
22 the conditions that may affect whether one needs to  
23 consider offering an expanded access protocol.

24 First, when there are early studies in humans  
25 showing promising results. Second, in those common

1 tumors where patients regularly run out of  
2 treatment options, and finally, realistically, in  
3 circumstances when one expects many requests for  
4 treatment use will come in, such as for drugs that  
5 are widely discussed in the media.

6 [Slide.]

7 Dr. Kennealey described experience with an  
8 expanded access program at Astra/Zeneca for a new  
9 drug to treat lung cancer, and he offered this as  
10 an example of a system that seemed to have worked  
11 fairly well.

12 The first step was to make a commitment, a  
13 commitment to the process and to dedicate resources  
14 needed to make it succeed. A team dedicated to the  
15 project was created. A contract research  
16 organization was hired to handle day-to-day  
17 matters, such as collecting forms, getting IRB  
18 approval, and processing data.

19 There was careful networking with  
20 important parties, such as FDA and advocacy groups.  
21 A single informed consent was carefully developed,  
22 and an important feature was the determination  
23 there would be firm rules about entry with no  
24 exceptions made on the basis of persistence or  
25 political position.

1           In order to prevent interference in the  
2 process of getting the drug to market, patients  
3 were excluded who were eligible for clinical trials  
4 that would support FDA approval. Eligibility was  
5 restricted to the disease where the most promising  
6 activity was shown.

7           Next, the data collection requirements had  
8 to be addressed. In this case, because there were  
9 only 300 patients who had been treated with the  
10 drug in any trials, the company decided to collect  
11 fairly detailed safety data in the expanded access  
12 program.

13           One issue that was not a problem in this  
14 particular program was drug shortage, however, this  
15 is an important issue that will often need to be  
16 addressed.

17           So, these were the problems addressed in  
18 the Astra/Zeneca expanded access experience.

19           [Slide.]

20           One of the questions Dr. Kennealey asked  
21 FDA to address was how these data from expanded  
22 access protocols might be used when the company  
23 submits a new drug application and whether these  
24 data could decrease the time to NDA submission.

25           Of course, specifics vary from protocol to



1 protocol, but these are some of the generalizations  
2 I would suggest.

3 First, the most important data to collect  
4 are clearly those on adverse reactions, especially  
5 serious events and unexpected new toxicities.

6 Other data are probably seldom very useful in this  
7 setting, that is, with a single-arm study where  
8 conditions vary widely from patient to patient, and  
9 where physicians are less experienced at collecting  
10 data and may not have the same support staff for  
11 assuring high quality data.

12 Finally, it does not seem likely that  
13 expanded access will speed NDA submission and  
14 approval for cancer drugs because usually the rate  
15 limiting step in this process is collection of data  
16 on effectiveness, data that will usually come from  
17 clinical trials. To speed this process, we need  
18 more patients in clinical trials.

19 [Slide.]

20 However, sometimes it might be reasonable  
21 to try to answer some limited questions in expanded  
22 access protocols. For instance, sometimes  
23 additional populations are treated in expanded  
24 access that are not studied in clinical trials, and  
25 we can evaluate the frequency of adverse reactions

1 in this population versus what we have in the  
2 clinical trial, or we might consider doing some  
3 more simple randomized studies in this setting,  
4 comparing two doses of investigational drug where  
5 patients could be assured they were getting drug,  
6 and evaluating very simple safety or efficacy  
7 endpoints, such as survival.

8           So, that is a summary of the regulatory  
9 overview and of the two industry talks that we had  
10 from Dr. Spiegel and Dr. Kennealey, and I don't  
11 know if we are taking questions now.

12           DR. NERENSTONE: I think we have time for  
13 questions from the committee for Dr. Williams.

14           [No response.]

15           DR. NERENSTONE: Thank you very much.

16           Dr. Taylor will give us a summary of the  
17 ethical considerations.

18           Summary of Ethical Considerations

19           Sarah Taylor, M.D.

20           DR. TAYLOR: Good morning. My first  
21 statement will be a disclaimer. I am not a medical  
22 ethicist, I am a medical oncologist and a  
23 palliative care physician dealing a lot with  
24 end-of-life issues, so I do deal a lot with ethics.  
25           What I was asked to do was to summarize

1 what was presented at our last meeting on ethical  
2 issues. I have added a few of my own comments  
3 because I come to this as a physician, as a patient  
4 advocate, and as a family member. My family has  
5 had cancer, as well. So, I think you will have to  
6 accept a few of my own comments, as well as this  
7 summary.

8           The first speaker was Dr. Sugarman, and he  
9 chose to give us a background or a framework for  
10 ethics and try to teach us the language of ethics  
11 because there are a lot of different words that  
12 aren't used in every-day language.

13           His point was that the off-study use of  
14 these experimental drugs was really kind of  
15 in-between medical ethics and research ethics. In  
16 my own mind, I think research ethics should be  
17 basically the same as medical ethics and in many  
18 ways they are similar, and we will talk a little  
19 bit about that.

20           If we look at the history of some of  
21 medical ethics, which is the first intersection  
22 that we have, it goes back, at least the first  
23 written word, is with Hippocrates, and which  
24 Hippocrates is telling us that we are to do good as  
25 physicians.

1           The Scottish took this a little further in  
2 the centuries after that, and they made it a moral  
3 obligation that physicians were to be the trustee  
4 for the patient and were to hold the good of the  
5 patient in their hands and to do good for the  
6 patient.

7           As time went on, life got more complex.  
8 We got more machines like dialysis machines. We  
9 got more artificial hearts. We got a lot of  
10 different complex things, and the idea of doing  
11 good for the patient wasn't as easy to define. So,  
12 other ways of looking at medical ethics were  
13 developed, talked about, and taught.

14           One of these which he presented was a  
15 four-principle look at medical ethics, and he gave  
16 four principles that are in this look. The first  
17 is autonomy, the second beneficence, the third  
18 maleficence, and the fourth is justice.

19           If you look at the first, which is  
20 autonomy, that is our patient right. That is our  
21 right to say I don't want treatment. That is our  
22 right to say you are not to touch me or give me a  
23 treatment without my permission. In a sense, it is  
24 a type of informed consent.

25           The second principle, which is

1 beneficence, many people feel should be and should  
2 remain the first principle, and that is to do good,  
3 and that is what we are here for is to help the  
4 patient and to do good.

5           Sometimes that principle in itself can  
6 conflict with that autonomy because autonomy means  
7 that I define for myself what is good for me, and  
8 when we look at beneficence, the physician is  
9 having to look at me and try to decide for me what  
10 is good, and so there may be conflict within this  
11 which leads us to other ethical issues.

12           Then, if we look at non-maleficence, that  
13 is basically what patients expect of us. I think  
14 they are very shocked to think that this would even  
15 have to be a rule, and that is that we will do no  
16 harm. Sometimes that is very complex when you are  
17 dealing with seriously ill patients, when you are  
18 dealing with very toxic therapies, bone marrow  
19 transplants, chemotherapies, and even some of the  
20 genetic therapies that can cause death.

21           Last is justice. Justice is a way of  
22 looking at equal access. I think this has been  
23 identified in today's talk as a major problem in  
24 this off-study use of investigational agents.

25           So, looking at all of those as our way of

1 looking at medical ethics, we go on to look at what  
2 is research ethics. Unfortunately, instead of  
3 those researchers using their medical ethics, our  
4 research ethics come out of a lot of scandal.

5           Unfortunately, there were lots of bad  
6 things happened, not just in Nazi Germany, but also  
7 in the good old United States in which patients at  
8 Tuskegee and elderly patients and retarded patients  
9 have had research done on them without the  
10 appropriate ethical informed consent.

11           So, out of this we have come upon various  
12 regulatory agencies within our government and  
13 within the FDA, we have come up with various rules  
14 at looking at research ethics.

15           One of those summaries has come up with  
16 that we will have again three principles, the first  
17 being respect for the patient or shall we call it  
18 autonomy, the second being beneficence, we are  
19 going to do good with the corollary being we won't  
20 do bad, and the third being that of justice or  
21 looking out for equal access for all patients.

22           Again, our world has changed, and as  
23 science changes, society has changed. Whereas,  
24 there was a lot of uproar and upset and feeling  
25 that physicians should have protected us from the

1   thalidomide babies and protected us from being  
2   injected with cancer cells on a study without  
3   informed consent, more and more you see in society  
4   that the patients are demanding more autonomy and  
5   in that asking for more access to these new drugs  
6   before it is known whether they are effective or  
7   not.

8               Because of that, I think that is why we  
9   are having more of these conferences because life  
10  is just plain more complex.

11              I think we are still dealing, and what we  
12  have to remember is we are still dealing with  
13  vulnerable populations. The vulnerable population  
14  is the people that are sick and their families, and  
15  they are fighting for their lives, and it is the  
16  most vulnerable position we can be in. We are more  
17  desperate in those times, more unable to listen and  
18  sometimes to understand the complexities of the  
19  treatments we are being offered. I think that puts  
20  the burden on the physician in terms of again  
21  informed consent and communication.

22              The other aspect that has been alluded to  
23  here, that is defined as therapeutic misconception,  
24  and I find this a lot in my patient population -  
25  well, let's take this experimental treatment, it

1 must work. If it's experimental, it must be great.

2           Unfortunately, as a former Phase I  
3 researcher, I know that when I wrote those Phase I  
4 trials, the objectives of my trials were  
5 scientific. I would be wonderfully happy if they  
6 also were therapeutic and if my patient responded,  
7 but the objectives of the trial were not that of  
8 therapy. The objectives of the trial were  
9 obtaining a baseline of data about that particular  
10 agent, so that I could go on and learn further  
11 information about it.

12           I think that therapeutic misconception is  
13 not just a misconception for patients. As you can  
14 see by the way we use the terminology, that we are  
15 going to use these experimental drugs as  
16 treatments. We don't know that they are treatments  
17 until they are effective.

18           I think that what these things hopefully  
19 emphasize, the vulnerability and the therapeutic  
20 misconception is that we have to do a lot on that  
21 autonomy side and providing informed consent. When  
22 you are dealing with folks who are sick, that can  
23 be very difficult.

24           Dr. Linden also presented at that meeting  
25 in December, and she gave a very nice summary of



1 her work with a group of activists and to obtaining  
2 expanded access to the drug Herceptin. It was a  
3 nice history of that, I am not going to go through  
4 that.

5 She made some other important points, I  
6 think. Some have been brought up earlier today,  
7 and I won't go into a whole lot, but we don't have  
8 data in terms of how many people apply, who gets  
9 it, why do they get it, and why don't others get  
10 it.

11 I know for a fact from my practice that  
12 because I used expanded access protocols, I get a  
13 lot of patients whose docs didn't know or wouldn't  
14 take the time to go through the process to get an  
15 expanded access protocol or to call to get offset  
16 use of a drug, so I think that is important that we  
17 know the basics, that we know some of the  
18 statistics. I am not sure that I know whether we  
19 can get all of them, because I do know, it was on  
20 the 60 Minute program here, people who know people  
21 get drugs in other than the usual fashion.

22 I think that white paper could then be  
23 used as she suggested, to have a conference in  
24 which we might try to look at ways to make access  
25 easier, to make our systems and our policies

1 easier, and most importantly, we need to make these  
2 systems and policies available in terms of  
3 education of the public that they are there.

4 I know that frequently when I call  
5 referring physicians and tell them that these drugs  
6 are available if they will just make the call or  
7 sign the papers, they are very surprised, so it  
8 isn't just a matter of educating parents, it is a  
9 matter of educating the public as to what the FDA  
10 and the NCI and the drug companies have all made  
11 available to us if we will take the time and  
12 trouble to do that.

13 Thank you.

14 DR. NERENSTONE: Are there any questions  
15 from the Committee?

16 [No response.]

17 DR. NERENSTONE: Thank you.

18 Dr. Pelusi will then address the  
19 perspective from the patient advocacy community.

20 Perspective from the Patient Advocacy Community

21 Jody Pelusi, F.N.P., Ph.D.

22 DR. PELUSI: Good morning and thank you,  
23 Madam Chairman, for allowing me to speak.

24 I come to you today as the Consumer Rep on  
25 the ODAC Committee, and I would like to give you a

1 little bit of my background because I think that  
2 becomes important in terms of how I got a lot of  
3 this information and again what I see in my  
4 every-day practice.

5 I am an oncology nurse practitioner with  
6 more than 25 years' experience in oncology, mostly  
7 in rural settings and in settings with people who  
8 are dubbed underserved and minority populations.

9 I have worked with clinical trials in  
10 terms of community clinical trials, and I have also  
11 been the family member of numerous family people  
12 who have had cancer and have gone through this  
13 process as well with them.

14 [Slide.]

15 I want to thank all the individuals,  
16 organizations, and agencies which shared a lot of  
17 thoughts with me and their experiences regarding  
18 this issue. Over the last couple months I have  
19 been trying to get a lot of input from people to  
20 say what do you think about this, because as a  
21 consumer rep, we want to represent what the true  
22 feelings are in the community.

23 [Slide.]

24 What came to mind time and time again and  
25 what came up, not only in the presentations that

1 were given last time by community members, but also  
2 this time, as well as all of my interactions, is  
3 that we all have the same goal. We have the same  
4 goal that all individuals must have equal access to  
5 culturally competent, quality cancer care  
6 throughout the disease trajectory, and what that  
7 means is it includes clinical trials at all phases  
8 of the disease process, and it is not just clinical  
9 trials in terms of treatment, but also in cancer  
10 control and in prevention.

11 [Slide.]

12 When we look at what was said last time in  
13 December, and we look at what was said today, we  
14 hear many different world views, and I just want to  
15 go through them and recap what are the themes that  
16 we hear, because it makes a difference when we have  
17 to decide where do we need to go with this.

18 What we heard from community is that they  
19 wanted the truth about outcome of their disease,  
20 and they wanted to know what is known about the new  
21 drugs that may be available to them. We need the  
22 truth.

23 People said they did not want false hope  
24 from the media or the health care system, but  
25 realistic guidance. People stated that they wanted

1 to know how to make the process of clinical trials  
2 and the single patient use program more  
3 user-friendly. They wanted to be able to do all  
4 that they could individually as a patient and as a  
5 family member.

6 [Slide.]

7 We also heard that they wanted to have a  
8 choice, to take what may be considered a risk,  
9 given as much information that was available in  
10 relation to the new treatment. People wanted to  
11 learn about cancer and treatment options. People  
12 want to contribute to society as a whole by being  
13 part of the process, and we heard that again today.  
14 They want to have a say in the process, and they  
15 want the process to be fair and ethical.

16 [Slide.]

17 So, when you put all that together and you  
18 listen and you listen, clinical trials still are  
19 believed to be the very best avenue of obtaining  
20 safe and effective treatment. The question becomes  
21 do we need more programs to look at single patient  
22 use or do we go back before that and say why aren't  
23 more people in clinical trials.

24 When I talked to a lot of individuals,  
25 what I heard were the stories about access into

1 clinical trials, and I think that that is where I  
2 want to spend some time this morning sharing with  
3 you things that we really need to look at because  
4 people really do want to be in clinical trials.  
5 People do realize that there is a very, very low  
6 rate of participation in clinical trials.

7           People also believe that the single  
8 patient use is necessary in cancer care, but not in  
9 all cases, and that there should be criteria. So,  
10 let's take a look at the whole issue in terms of  
11 clinical trials and how that really impacts this  
12 whole issue of should or if we decide about single  
13 patient use, things that we really need to  
14 consider.

15           [Slide.]

16           It seems to be that we really need to look  
17 at the system of clinical trials in the process, we  
18 need to look at the environment in terms of media,  
19 we need to look at health care providers, we need  
20 to look at patients and families and communities as  
21 a whole.

22           [Slide.]

23           In terms of the system, why aren't people  
24 in clinical trials? I can tell you from a  
25 community person, from a community nurse, this is

1 very hard because there is delays in the referral  
2 process that negates someone's ability to be  
3 offered a clinical trial.

4           With the health care system the way it is  
5 set up, with the HMOs, with the plans that are out  
6 there, many times people wait two and three months  
7 to get referred to even medical oncology. Many  
8 times that is past the deadline, if you will, in  
9 terms of how long out you can be before you can be  
10 eligible for a trial.

11           I have heard time and time again from many  
12 of the research centers we are sitting there  
13 waiting, we want to see these patients, we are  
14 waiting for approval.

15           Now, referrals sometimes cannot  
16 necessarily be so convenient. I can tell you in a  
17 small area, we have four institutions very capable  
18 of doing research, they do it all the time, and why  
19 are patients being referred two and three hours  
20 away to different settings, and it is based on  
21 contracts.

22           Many of our patient who are day workers  
23 cannot take off work to drive two and three hours  
24 to get the consult to get in the trial, and then on  
25 a routine basis, take off time to go down to get

1 the clinical trial someplace else when it is  
2 available right in their own hometown.

3           The other issue is when are we available,  
4 if you will, to give treatments. Many of the  
5 patients I work with, I do evening hours, we do  
6 weekend hours because our day workers are migrant  
7 farm workers, are Native American patients can only  
8 come at certain times, and if we are not open, they  
9 are not even going to take the treatment, so we  
10 really have to look at the process in terms of how  
11 do we get and treat patients in a very ethical way  
12 in terms of are we really accessible to them.

13           The referral process may not always be  
14 available. Maybe what many people don't realize is  
15 when we look at the Indian Health System, there is  
16 a whole group of people who don't even have access  
17 to referral services.

18           As you know, the IHS is funded by the  
19 Federal Government. Congress decides how much they  
20 are going to get. On a regular basis, the last  
21 five years, they have been 60 percent funded. That  
22 means 40 percent underfunded.

23           So, if we don't have oncology services  
24 within the Indian Health System, that means you  
25 have to refer out. If you only have X number of



1 dollars for referrals, how does that referral get  
2 made? Who makes that decision?

3 We have what we call kind of a life and  
4 limb committee that meets on a weekly basis, who  
5 gets those dollars and who doesn't.

6 What is also interesting is you only have  
7 and are eligible for referral services if your  
8 tribe is in the service unit where the services are  
9 being offered, so if you are from Oklahoma and you  
10 happen to live in Phoenix, sure, you can come and  
11 get all the direct services you want from the  
12 medical center there, Indian Medical Center, but if  
13 you have to be referred out, you are not eligible  
14 for referral services.

15 So, again, when we look at a population  
16 such as Native Americans, and you look to say,  
17 sure, they have the lowest incidence of cancer,  
18 they also have the highest mortality. When you say  
19 who is the most under-represented in clinical  
20 trials, it is our Native American population. So,  
21 again, it is not that people don't want treatment  
22 and don't want to be in trials, it's the process  
23 itself.

24 Then, we look at our uninsured. Many  
25 times they are not considered for trial because

1     there is a perception out there that there will be  
2     a lack of compliance. They don't have insurance,  
3     they don't have the money that may be required to  
4     meet all the requirements in a clinical trial.

5             [Slide.]

6             In the system itself, the minority and  
7     underserved population, there truly is a disconnect  
8     between research and the community. Language  
9     barriers, world view barriers, previous history  
10    regarding clinical trials, and the time and the  
11    resource barriers in making the efforts to get to  
12    those communities.

13            I think that we have to look very closely  
14    at this, and so does industry. When we start to  
15    look at the development of clinical trials, where  
16    is the community voice in the development of that?  
17    If you wonder why people have a hard time with  
18    informed consents, and you wonder why can't they  
19    come on this particular regime, have we looked at  
20    the true day-to-day issues?

21            If we had community members actually  
22    helping us design the trials, we are going to have  
23    better buy-in. You are going to see people  
24    actually talk in their communities about this. Let  
25    me give you an example.

1           I was recently approached by an elders  
2 group. The elders group had been asked--they are a  
3 group of elderly Native Americans--to look at a  
4 clinical trial. It was a prevention trial for  
5 prostate cancer.

6           They were handed, literally handed the  
7 trial and said can you look over this. That was  
8 their introduction of here, we want you to  
9 participate. They came to us, which we are not  
10 those particular individuals' health care  
11 providers, because we were an Indian System.

12           What they asked of us, "Is this a good  
13 thing or is this a bad thing?" You know, we have  
14 kind of been used in the past. We felt  
15 uncomfortable with how it was presented to us.  
16 What they were asking is we need more education,  
17 how do we make good choices about what is out there  
18 and about should we partner or not with university  
19 settings.

20           So, it is not that people aren't  
21 interested, it is how we approach. So, again,  
22 where is this in the very beginning of clinical  
23 trials?

24           [Slide.]  
25           When we look at the media, I can tell you

1 every person I talked to made a comment about the  
2 media, and they made it in a comment of saying we  
3 really need to know what the reality is, what are  
4 truly the issues, and not sensationalism.

5 But the other thing that came up time and  
6 time again is that many times in the media, what we  
7 are seeing is that community is really versing, if  
8 you will, either the health care system or, you  
9 know, it's the FDA against the community, when, in  
10 reality, this is all of us working together for an  
11 outcome of better cancer treatments.

12 So, the question is, is why is that  
13 allowed to happen and how again do we partner  
14 collectively to address the issue that we are all  
15 trying to get to. We cannot be at ends with each  
16 other, and we have to really look at that in terms  
17 of how do you move forward, and with media having  
18 such great access, why not use it to the best of  
19 the ability when we talk about education. We can  
20 use that medium, if you will, to provide good  
21 education about what really exists.

22 [Slide.]

23 When we look at health care providers and  
24 health care teams, as was mentioned this morning,  
25 we all have to look at each one of us and say what

1 can we do better. Do we truly articulate the  
2 disease process? At the time of diagnosis, all we  
3 hear is the word "cancer," but do we really  
4 understand as time goes by what is going on in  
5 terms of disease process, and do we plan for the  
6 future.

7           The question is, is why do we wait until  
8 the last minute to say, oh, we need this or we need  
9 that? There are very few times when we really  
10 don't understand that disease trajectory. We have  
11 a pretty good idea from the get-go where are we  
12 going with this disease.

13           If we need time to look at single patient  
14 use, we have that time. We also have time to  
15 really talk about quality palliative care and  
16 end-of-life care. Many patients have been treated,  
17 treated, treated, and then we say there is nothing  
18 else to give you unless it's an experimental drug.  
19 The question is, is there is stuff to give you, and  
20 the problem is, is people don't understand that  
21 there is excellent palliative care out there, and  
22 that is treatment, if you will, for that stage of  
23 the disease process.

24           Do we develop a plan with the patient and  
25 family which demonstrates our continued commitment

1 to care? My question to all of the providers in  
2 the room, and I have to ask myself when I see new  
3 patients, is do I just give a treatment plan that  
4 says we are going to give you this drug, this many  
5 times, and how often we are going to give it, or do  
6 we really say how are we going to get you through  
7 this disease, and it is not just the treatment, it  
8 is everything else that goes in.

9 We need treatment plans that are  
10 all-inclusive, if you will, of going through the  
11 process, not just one aspect.

12 [Slide.]

13 The other question that we have to ask  
14 ourselves as providers, if we fail the first-line  
15 treatments, do we consider second-line treatment  
16 part of clinical trials, how are we determining  
17 these outcomes, and that was brought up more and  
18 more times to the researchers and to the industry,  
19 do we develop from the get-go an arm that looks at  
20 those who do not qualify, those patients who may be  
21 advanced stage, who have already failed.

22 I think that has already been mentioned by  
23 some of the suggestions, is do we go ahead and  
24 already set that criteria upfront, so that there  
25 are some guidelines, if you will, or some outcomes

1 of knowing what are the outcomes in patients who  
2 are already advanced or who are different than  
3 those who are typically in the clinical trials.

4 Do we look at the system setting up  
5 studies that would already have built in manpower  
6 for this or do we need to look at something more  
7 globally in terms of a 1-800 number to help screen  
8 calls?

9 When that 60 Minutes program ran, one of  
10 the clinic managers called me and said to me I had  
11 to bring in another nurse just to man the phones  
12 because the phone calls were coming in to their  
13 clinic was why didn't I know about this research,  
14 why haven't you offered it to me.

15 So, she had to literally bring in more  
16 manpower when indeed, in fact, is there a 1-800  
17 number, so that individuals, physicians can call  
18 and say what is available in terms of expanded  
19 access, and that that system is really funded by  
20 all versus each company having to do it on their  
21 own.

22 Is there screenings that can be set up for  
23 such a call center, if you will, to see, indeed,  
24 can they move forward to the next step. Again,  
25 what I think we have heard, even today, is many

1 times we get bogged down, if you will, because  
2 everybody is doing it individually and it is not  
3 being done collectively.

4           Also, in terms of our rural health  
5 providers, and also in our urban settings, maybe we  
6 are not educated enough in terms of clinical  
7 trials, expanded access, and single patient use.  
8 Again, what efforts do we need to make to make sure  
9 we all understand, just as Dr. Taylor said, what  
10 really is available out there.

11           Many rural people, especially providers,  
12 don't have the time nor the resources to really  
13 pursue this process. They may not have the  
14 experience to do it either, so the question is an  
15 IRB, that doesn't necessarily have to be in one  
16 particular place, is there something global that  
17 can be done for certain aspects of clinical trials,  
18 and I think there is.

19           When we look at patients and families, I  
20 think we really have to look at the whole issue of  
21 perceptions, knowledge, beliefs, and values, what  
22 are definitions of health and illness, and how does  
23 that impact the process.

24           We have talked before here about quality  
25 of life and how that goes into many of our studies,



1 and we have yet to see that that really is a  
2 criteria for studies - do we need to begin to look  
3 at that.

4           The informed consent. Many people have  
5 talked about autonomy today and that that is the  
6 reason for informed consent, that that person makes  
7 the decision. I will challenge you to say that  
8 with many people and many people who are coming to  
9 this country, it is not an autonomous decision, it  
10 is a family decision, and our informed consents are  
11 not set up that way.

12           I can tell you that where I work, it is a  
13 family decision. Family members all want to sign  
14 the form, and it usually isn't the patient who  
15 makes the final decision, it is a consensus  
16 decision, and we are going to see more and more  
17 people looking at that.

18           So, we are going to have to look at that,  
19 as well, in terms of informed consent. Many  
20 patients and families want to be involved in the  
21 process, and again, the challenge is when do we  
22 involve them.

23           Many families--and we heard it again  
24 today--are responsible for coordinating the care  
25 and many times without training or guidance. That

1 is why people are so passionate about saying I want  
2 to do everything I can because they want to make  
3 sure they do the very best.

4 None of us can fault anybody for that, but  
5 where is the guidance and where is the training.

6 [Slide.]

7 Single patient use. After talking to a  
8 lot of people, a lot of agencies, a lot of groups,  
9 this is what I heard in terms of general consensus.  
10 There needs to be single patient use available for  
11 patients who may not have access to routine  
12 clinical trials or special circumstantial issues  
13 exist.

14 Again, there are people who could be in  
15 clinical trials, but cannot get through the process  
16 to get to them. However, there needs to be a  
17 single clearinghouse where one can go for  
18 information about availability and process.

19 [Slide.]

20 What happens--and I have asked this  
21 question--what happens when there is standard  
22 curable therapy available? People said in their  
23 minds the only reason to use single use would be if  
24 there was something very specific to an ethical,  
25 religious, or circumstantial reason that they could

1 not undergo such therapies.

2 [Slide.]

3 When no standard therapy exists and all  
4 previous treatments have failed, this could be  
5 considered for single patient use, but all other  
6 options need to be explored, and that includes  
7 palliative care measures. They need good  
8 education, and that is what they are saying,  
9 sometimes I don't realize I have anything else or  
10 that I don't have to say I have to do something,  
11 and that they don't have to feel guilty about not  
12 doing something.

13 Trials initially have set criteria for  
14 those individuals. We really need to look at who  
15 really gets these, what is their performance  
16 status, are they even able to undergo some of these  
17 new therapies, and what and when should  
18 interventions be started, and what and when should  
19 they be stopped.

20 Many people still feel that when you start  
21 something, you have got to keep going, and there  
22 are many indications when then is when we do more  
23 harm.

24 We also heard again that nobody wants to  
25 interfere with the clinical trials process.

1 [Slide.]

2 What about standard therapy when standard  
3 therapy provides substantial prolongation, but not  
4 curative? People still felt, the majority of  
5 people felt that standard therapy really should be  
6 utilized, but what needed to be considered is  
7 perhaps a cohort to include in that next phase of  
8 if indeed that drug was approved and felt to be  
9 safe, can we go back and use that group of patients  
10 to say they were treated with standard therapy, it  
11 wasn't curative, here we are now, can we use it in  
12 them.

13 [Slide.]

14 So, what we really need and what was  
15 really said is we need to address the barriers to  
16 the systems of clinical trials itself, the access  
17 to the clinical care, the timeliness to referrals,  
18 the support of families and providers for this  
19 process, more organized approach if we are going to  
20 use single use, collaborations with community,  
21 media, health care providers, and research, more  
22 attention to, if you will, informed consent  
23 process, so it is reflective of all communities,  
24 and to look at the same in terms of the IRB  
25 process.

1 [Slide.]

2 Dr. Gil Friedell is somebody who I highly  
3 respect. He has done more for the Appalachian poor  
4 than anybody else I know, and he always says this  
5 at every ICC meeting, Intercultural Cancer Council  
6 meeting. He says the issues as well as the  
7 solutions come from the community, and I really  
8 think that that is true. All of what we are  
9 talking about happens at a community level.

10 [Slide.]

11 As communities try to address health  
12 issues, and they do it by themselves in terms of  
13 what expectations are, what they have, we really  
14 need to look at all the stakeholders in the  
15 community, and we need to sort out roles and  
16 responsibilities, and what we are going to find in  
17 clinical trials is it is going to vary from  
18 community to community, how we get the word out,  
19 how we get the buy-in, and we are really going to  
20 have to look at it from a community perspective.

21 Patients are really tired of having people  
22 come in and say here, I have this, take it, and not  
23 be part of the process. So, we really need to look  
24 at community-based education and research.

25 If you haven't read the article by Israel,

1 on community-based research, that was published in  
2 Public Health in 1999, I would ask every one of you  
3 to read that. Community-based research is not an  
4 easy thing to do, but it gets us faster to where we  
5 want to go, and it means partnering with community,  
6 with media, with health care providers from the  
7 get-go, and it really means that we have to do  
8 community-based outreach in terms of education.

9 Communities, patients want to be involved  
10 and they want to be a partner in the process. They  
11 are asking that they get more information, so that  
12 they can have a better understanding, and I think  
13 we heard this again by everybody that presented  
14 this morning. They want to help facilitate the  
15 process, they are not here to slow it down.

16 They want the knowledge. So, whether the  
17 researchers come and go, or we come and go as  
18 providers, that the community still has the  
19 knowledge to carry on in terms of health.

20 [Slide.]

21 They were really want to ensure that they  
22 are involved in informed consent, and they want the  
23 informed consents to be culturally competent. They  
24 want the IRB process to be culturally competent,  
25 and they want to make sure that all cultures are

1 reflected in clinical trials.

2 [Slide.]

3 So, in summary, our goals are all the same  
4 - equal access to culturally relevant, quality  
5 cancer care through all stages of the disease, and  
6 it has to be a partnership.

7 Thank you.

8 DR. NERENSTONE: Does anyone have any  
9 questions for Dr. Pelusi?

10 DR. TEMPLE: Early on, you described the  
11 sort of general principle, I guess which would be  
12 called justice, that there should be equal access  
13 to therapies throughout the development process.

14 How do you fit that with the observation  
15 that the early trials are obviously smaller and  
16 more limited? Sometimes justice has been  
17 translated to say that people shouldn't be excluded  
18 within the community, that they should have access,  
19 but you can't have Phase I studies that cover the  
20 whole nation or at least not easily.

21 How do you translate that?

22 DR. PELUSI: I think what I was referring  
23 to, Dr. Temple, is when we look throughout the  
24 phases of disease, what I am looking at is do we  
25 have even prevention trials available across the

1 board to people.

2 When you are looking at Phase I/Phase II,  
3 I think the question becomes is if indeed people  
4 want to participate, are they going to be able to  
5 participate? No, you are not going to be able to  
6 have them all around, but is there a system  
7 involved that can support that?

8 So, if indeed you have somebody who wants  
9 to be in a Phase I trial, they may not be able to  
10 travel to another state to get that, and if  
11 insurance, you know, if they don't have insurance,  
12 how are they going to be supported to participate?

13 That is a question that we have to ask  
14 ourselves, is there a support system set up that  
15 they can participate if they want. Most people  
16 really want access, to be really honest what you  
17 hear from communities, they want to be able to have  
18 what everybody else has in terms of standard of  
19 care.

20 In many communities, standard of care is  
21 not available.

22 DR. TEMPLE: That is sort of what I am  
23 asking about. A Phase I study is likely to be done  
24 in one or a small number of institutions. The  
25 company plainly is not ready to support thousands



1 of people, and you probably wouldn't want that  
2 because you don't know enough about the drug, so  
3 how do you translate the equal access to the early  
4 stages?

5 DR. PELUSI: To the early stages of the  
6 trials process, again, if you are struggling to get  
7 patients into the trials in all phases, and you are  
8 especially looking at trying to get minority  
9 populations in, you are going to have to support  
10 them somehow, and the question becomes are we, if  
11 we want people in clinical trials, willing to  
12 support them in terms of transportation, in terms  
13 of housing for that, and that may be part that has  
14 to be built in.

15 Again, that is the only way we are going  
16 to get people into trials, and they are going to  
17 have to say, you know, do they even know that they  
18 are available. Many people may not want to be in  
19 Phase I studies, but do they want to be in Phase II  
20 studies, do they want to be in Phase III studies?  
21 Perhaps. But again, many people don't participate  
22 because they don't have the ability to travel.

23 Many times it means if you are poor, you  
24 don't have access to that if you chose to  
25 participate. Many times you don't even know that

1     that exists.

2             The question also that goes with that is  
3     do they understand what Phase I is, is it something  
4     that they want to participate in, have they been  
5     educated into what it is that a Phase I study does.  
6     You know, are we looking to see is an entity really  
7     basically, does it have any activity, what are the  
8     potential side effects, not what it is against.

9             Again, basic education at the community  
10    levels can't be done necessarily by us. It needs  
11    to be done by community members. I think what you  
12    are starting to see, that is coming out in kind of  
13    a rough form from some of the special population  
14    grants, is that what we are seeing is communities  
15    actually want to be the ones that decide how  
16    education will be done within their communities,  
17    but they want the knowledge from the researchers,  
18    they want the knowledge from the experts, if you  
19    will, but they want to deliver it in their  
20    communities.

21            So, when you talk about access, again, we  
22    have to ask ourselves who do we want in trials, and  
23    if we truly say that we want to make sure everybody  
24    is represented in trials, we are going to have to  
25    say what are the barriers to the trial and did we

1 build it in, in terms of resources to get people  
2 in.

3 DR. NERENSTONE: Any other questions?

4 Yes, sir.

5 DR. REDMAN: A question, and it is  
6 probably more on the ethical, and maybe Dr. Taylor  
7 can respond to this, but I sort of get a sense from  
8 some of the community speakers and others that  
9 there seems to be--and I guess this deals with  
10 patient autonomy, the right to refuse therapy--when  
11 or is it an inalienable right that a patient has  
12 access to investigational agents? I mean is that  
13 written somewhere that everybody has to have access  
14 to investigational agents?

15 DR. TAYLOR: I think if you look at what  
16 the write about justice, it is more along the lines  
17 of what Dr. Temple alluded to. You have to have,  
18 it is felt in our country, and it is certainly not  
19 felt in others, that we should all have access to  
20 the same medical care when it is relevant, and  
21 there are some times when it is not going to be  
22 relevant, you are going to not be willing to give  
23 your time to fly to California to take a Phase I  
24 agent or you are not going to have the disease that  
25 it is even reasonable to treat it. You have to set

1 certain parameters. It is not always relevant that  
2 everybody--I don't think that I should be able to  
3 demand to go take a Phase I drug as a non-cancer or  
4 non-ill patient.

5           So, I think that you have to look in a  
6 relevant way. We don't have equal access to even  
7 standard of care in this country, and whether we  
8 should or not, only those people that have lots of  
9 money are going to be able to tell us because that  
10 is where I think it is. We don't have equal  
11 access. You see it in your practice every day.

12           Whereas my patients without insurance may  
13 not go in a trial, it may be because they have to  
14 keep working, and they can't even come in at night  
15 to my 24-hour-a-day clinic because they have to  
16 keep working and they can't participate.

17           So, I don't think there is anywhere that  
18 says everybody should get to be on a Phase I trial,  
19 but I think that you shouldn't be excluded for  
20 other than relevant reasons.

21           DR. NERENSTONE: We are going to have  
22 further discussion after the break. We will take  
23 the break now and be back at five after 10:00.

24           [Recess.]

25                           ODAC Discussants

1 Sarah Taylor, M.D.

2 DR. NERENSTONE: Dr. Taylor has been kind  
3 enough to volunteer or was drafted to lead off this  
4 discussion.

5 Sarah.

6 DR. TAYLOR: We have heard from a lot of  
7 different aspects, and I am going to talk to you  
8 from my different hats that I wear about this  
9 issue. Primarily, I think that we will try to  
10 drift back to the off-study use for individual  
11 patients as an issue, and not access to medical  
12 care, as I was told that is a huge issue.

13 As a physician, I wear a number of  
14 different hats. Number one is I am an oncologist,  
15 and as an oncologist, I have a number of cancer  
16 patients who come to me today seeking treatments.  
17 Issues that I have within my own practice in doing  
18 this are that if I am going to use a drug off-label  
19 or off-study, that I, number one, have to know  
20 about it, and there are a lot of physicians who are  
21 not in my position in which I go to meetings and  
22 have that luxury of having a group that will cover  
23 while I am out trying to learn new information.

24 I am in a large city where many times the  
25 meetings are held. I also have the luxury that I

1 have a National Cancer Institute grant that pays  
2 for data management, and what I manage to do is use  
3 that data management to help me keep records of  
4 those patients for whom I call and seek the  
5 individual INDs or for whom I get expanded access.

6 Now, if I were an oncologist in private  
7 practice, some do belong to community-based  
8 research organizations, but many don't, and so as a  
9 physician who is not in my position, I would be  
10 concerned about the cost, not only my time in terms  
11 of calling and arranging it, but my having to pay a  
12 nurse to keep the records, pharmacists to mix the  
13 drug, all of which I am not going to get any  
14 reimbursement for, and I may have to come up with  
15 the cost for that.

16 So, I think that as we talk about these  
17 issues, one aspect is the physician side, is cost  
18 and time that they have to put into it.

19 I think that as an oncologist, it is very  
20 important that I educate, just as we talked about,  
21 in terms of that misconception that because it is  
22 an experimental drug, it is going to be better.

23 With my scientist hat on, I have done an  
24 awful lot of studies that were very negative, and I  
25 have to say that as a scientist, I look at the

1 studies and I realize how few responses there are,  
2 and I feel that it is important that patients  
3 really know that. At one time, the NCI screened  
4 40,000 drugs in a year, and we certainly don't have  
5 40,000 drugs on the market. I think that that is  
6 an important part of it.

7           As a palliative care physician, I have to  
8 tell you that many times people come to me with  
9 end-of-life issues which should have been addressed  
10 far earlier than that last week of life, and that  
11 sometimes, as physicians, when we are not willing  
12 or able to give the bad news and to give the truth  
13 about the fact that the majority of people on a  
14 Phase I trial are not going to respond, are not  
15 going to have a clinical benefit, and that perhaps  
16 you need to look at other issues, such as do you  
17 want to go visit your daughter now, should we be  
18 looking at other issues in your life.

19           Hopefully, all of you who do Phase I  
20 trials and Phase II trials are controlling that  
21 pain anyway. We don't want to be not controlling  
22 symptoms, but symptoms need to be controlled. I  
23 think that often, as Jody alluded to, people feel  
24 that the only treatment has to be an active  
25 anti-cancer treatment. Certainly, as a palliative

1     care physician, I find it very offensive that  
2     sometimes my pain and symptom management is not  
3     considered treatment because indeed it is a  
4     treatment thing.

5             So, I would hope that as people seek these  
6     new agents, that we also keep them well informed  
7     about the palliative care issues and the realities  
8     of it.

9             Now, as a patient and a family member, I  
10    also understand a number of things in terms of the  
11    hope, and I have seen people who weren't supposed  
12    to respond to a drug, and that drug isn't on the  
13    market respond to a Phase I drug and actually have  
14    a complete remission. Those are anecdotes, but  
15    they are things that people hold onto and things  
16    that keep them looking for other issues. So, I  
17    would note that.

18            I think that another aspect of industry  
19    that was not emphasized today, but which I am aware  
20    of, is that as they do expanded access on  
21    individual patient treatments or use of their drug,  
22    they are spending a lot of money, and money may be  
23    a real bad word in a lot of ways, but when that  
24    industry has to spend that money in that way, I  
25    think they have to look at how they are spending it



1 and whether they are going to get data back that  
2 will help the public to know what the drug is going  
3 to do and whether it is going to be effective,  
4 whether it will be an effective use of their money  
5 or whether it will be more money spent that will  
6 just increase the cost of the new drugs.

7           So, I am throwing into the argument here  
8 that we have many issues both from industry and  
9 physician, and actually from patients who spend a  
10 lot of time and effort taking treatment.

11           DR. NERENSTONE: Dr. Pelusi.

12           Jody Pelusi, F.N.P., Ph.D.

13           DR. PELUSI: I was asked to only give four  
14 lines. In summary, just to probably hit the four  
15 biggest points that I see, is I think that we all  
16 hear what people want is to make sure that they get  
17 honest, real information about the disease process  
18 and about true reality about what is available to  
19 treat their cancer. Again, it needs to be  
20 inclusive of not only drug therapy, but palliative  
21 care.

22           Also, when we look at this, we hear time  
23 and time again nobody wants to slow down the  
24 clinical trials process, that we feel that that is  
25 the standard, if you will, to truly put effective

1 and safe drugs on the market.

2 So, when we begin to look at what should  
3 we do with expanded access or special patient use,  
4 that in no way do we ever want to slow down the  
5 clinical trials process.

6 Third, we hear that there needs to be  
7 education in terms of patients understanding the  
8 issue of patient use and expanded use, as well as  
9 the medical community.

10 Fourth, I think that everybody is saying  
11 right now, because the system isn't perfect, that  
12 single patient use is yes, indeed, something that  
13 we need to look at, it may evolve over time, but  
14 yet there should be criteria, so that we know that  
15 it is safe and effective, and that may be to look  
16 at what phase of the study does it become  
17 available.

18 Last but not least, again, people just  
19 want access, to be able to say that I am receiving  
20 quality care in whatever form that may be.

21 Thank you.

22 Committee Discussion

23 DR. NERENSTONE: I would like to open it  
24 up to the committee now for discussion, and I am  
25 going to take the chairwoman's prerogative, and I

1 don't want to reiterate, I think our two leaders  
2 made very good and important points. I just want  
3 to reiterate very briefly.

4           One, I think patient education is  
5 extraordinarily important and what patients'  
6 expectations are of these treatments. It makes me  
7 very nervous to hear speakers today talk about  
8 experimental treatment as the only potential for  
9 cure for their family member.

10           Most of these drugs are not going to cure  
11 anyone. Most of these drugs, even if they are the  
12 most effective we can hope, we are talking about  
13 increasing people's lives by months, not years, and  
14 that is in the most effective drugs that are now  
15 used upfront, when they are used in the second,  
16 third, and fourth line setting, they have very  
17 minimal activity even when we know they are  
18 effective.

19           The other issue is that performance status  
20 adherence. I think it is wrong to give patients  
21 chemotherapy as they are dying. I think that it is  
22 wrong for patients to expect that they should be  
23 getting chemotherapy as they are dying.

24           If patients should not be getting standard  
25 therapy because they are no longer of an adequate

1 performance status, they should certainly not be  
2 getting experimental treatment where you know there  
3 is no likelihood of any benefit to the patient, and  
4 only very severe toxicity.

5 So, I think these are really very  
6 important things for patient education.

7 Now, I would like to open it up to the  
8 rest of the committee.

9 Dr. Blayney.

10 DR. BLAYNEY: Thank you. In considering  
11 the discussion and reading the material and  
12 reviewing what we heard in December, I have four  
13 points perhaps in my role as adviser to the FDA.

14 I think clearly in this country, the  
15 autonomy of the patient and that conflict between  
16 physician and patient autonomy has been settled on  
17 the side of the patient, and I think we all  
18 recognize that that is the way things should  
19 continue to be and we should respect whenever  
20 possible the autonomy of the patient.

21 Secondly, if we had a frictionless system,  
22 we would not be having this discussion today. If  
23 the time from a biologic event, meaning giving a  
24 drug and observing the effect of that drug, to when  
25 that event was recorded, verified, acted upon, and

1 a decision was made to approve that drug for  
2 marketing was very short, this discussion would in  
3 large measure be a much smaller issue.

4 I commend the Agency with the quick  
5 approval of Gleevec, and I think not only can that  
6 be viewed to your credit, but I would hope that you  
7 would learn and work with your drug sponsors and us  
8 in the practice community to learn how we can make  
9 that more of a common occurrence rather than  
10 something that is deserving of comment because it  
11 is so out of the ordinary.

12 Thirdly, I think that in your discussions  
13 with PhRMA, you need to encourage them to be  
14 proactive and think about a planned access program  
15 as part of their drug development process,  
16 especially if the sponsor is planning a big media  
17 campaign in advance of drug approval, as we have  
18 seen with a lot of the drugs that I suspect we will  
19 be considering over the next few years, they need  
20 to factor an expanded access program into their  
21 drug development mechanism.

22 Second to last, the semantic issue has  
23 been touched on. I think the compassionate use  
24 needs to disappear from various publications, and  
25 also as a semantic issue, I think palliative care

1 or some other term that is acceptable to patients,  
2 you should put into your vocabulary of ways that  
3 patients can consider active treatment or  
4 compassionate use treatment of experimental agents,  
5 that palliative care many times is a much better  
6 option for these patients.

7           Finally, I must say that I am encouraged  
8 that the pediatric advocate from whom we heard this  
9 morning, and the pediatric, which my understanding  
10 is as close to a frictionless clinical trial system  
11 as we have, where they have a very high  
12 participation in clinical trials in pediatric  
13 patients, came to the view, which is largely my  
14 view, that the individual use or individual trial  
15 should be a mechanism that is used as minimally as  
16 possible, so as not to impede drug development.

17           DR. NERENSTONE: Mr. Erwin.

18           MR. ERWIN: One thing that seems to come  
19 through in a lot of the comments is the need for  
20 information, and there has been a focus on patient  
21 education, but I think at another level, a more  
22 systematic approach to gathering information could  
23 be extremely helpful.

24           We have heard from people with varied  
25 experiences in many different types of cancer.

1 Frequently, there is not a great deal of  
2 communication across those interest groups, and the  
3 experiences with everything from expanded access in  
4 the HIV community to attempts at individual access  
5 in certain rare forms of cancer has generated a lot  
6 of what is frequently dismissed as anecdotal  
7 results.

8           Given the now almost two decades of  
9 history of various types of attempts to gain access  
10 to innovative promising new therapies, whether it  
11 goes back to early devices or more recent  
12 biologics, I think that given that the FDA is going  
13 to be a center of focus for a lot of this going  
14 forward, it would make sense without it becoming  
15 yet another unfunded mandate or some kind of  
16 approach to be taken to create a high quality,  
17 systematic review of the experience across all of  
18 these different disease sectors, and what is the  
19 conclusion or conclusions that can be drawn in a  
20 much more sort of academic or objective manner in  
21 compiling this information and looking at what has  
22 worked and what has not worked.

23           In particular, I think one part of that  
24 analysis might be what has worked and what has not  
25 worked when it turned out that the device, the

1 intervention, or the drug was, in fact effective,  
2 was ultimately approved, was there benefit in an  
3 expanded access program, was there life extension  
4 that is statistically valid, was there benefit in  
5 even individual access.

6           There have been some I think important  
7 distinctions drawn between expanded access and  
8 individual patient INDs, but with all of this  
9 discussion of anecdotes, personal histories,  
10 emotion, fairness, it seems to me that the  
11 overwhelming need for policy decisions or even fair  
12 conclusions on justice could benefit a great deal  
13 from that kind of a systematic analysis.

14           DR. NERENSTONE: Ms. Platner.

15           MS. PLATNER: While there is certainly a  
16 consensus in the room that no one wants to  
17 undermine the clinical trial system, I don't think  
18 that in any way implies that folks wouldn't like to  
19 change the clinical trial system and improve the  
20 clinical trial system.

21           I think that looking at the whole issue of  
22 single patient INDs, we can go through various  
23 scenarios about when it may be appropriate in this  
24 circumstance but not that circumstance, and maybe  
25 if the situation in this but not that, and I think



1 in the end, there is no way, no matter what you do  
2 with single patient INDs, that you can ever  
3 actually make that fair, equitable, or  
4 compassionate, and in the end, effective in any way  
5 in dealing with the issues that all of these raise.

6           So, I think it is really time to move  
7 beyond that and recognize it as a mechanism that is  
8 really not effective and really doesn't work, and  
9 look at the clinical trial system itself and how to  
10 address issues and maybe look at more trials in  
11 late stage disease although in cancer there are  
12 many, many trials in metastatic cancer, there are  
13 not many trials that deal with later stage disease  
14 that look at expanded access, and maybe some other  
15 mechanisms for treatments that are very, very  
16 promising, and that is not most treatments.

17           But I think it is really time to move  
18 beyond this because in the end, I don't think this  
19 mechanism will ever address effectively the issues  
20 we want to address, and it just simply will never  
21 be fair and equitable.

22           DR. NERENSTONE: Dr. Temple.

23           DR. TEMPLE: I just want to provide a  
24 little bit of historical background, and it is  
25 relevant to these things. One of the reasons the

1 treatment IND mechanism--and I realize there is  
2 some question of whether it should be called  
3 treatment IND, but leave that aside--was developed  
4 was a perception that the way things were when  
5 drugs did look promising, when there was a certain  
6 amount of evidence of effectiveness, who got into  
7 the various programs of expanded access that  
8 existed was capricious and depended on who you knew  
9 and whether your doctor was wired in.

10           The program was designed to make  
11 information more widely available, so that it  
12 wasn't only for the aficionados and their patients.  
13 I have to say to the extent that expanded access--I  
14 am talking now about relatively late expanded  
15 access--is not using that mechanism and is being  
16 sort of local and not using the treatment IND or  
17 the Group C equivalent, it is undermining the  
18 desire to have it be widely known and fair, and  
19 that seems important to me, because one of the  
20 things that impressed me most is how infuriating it  
21 must be to not know what the rules are for getting  
22 whatever you want and being confused about it.

23           So, whether it should be called something  
24 different could be discussed also, but having a  
25 public determination that this will be available in

1 this kind of expanded access in the form of a  
2 treatment IND or something like that seems an  
3 important part of being fair.

4 That, of course, doesn't solve the early  
5 individual patient problems at all, but I have one  
6 thought I wanted to ask people about.

7 When somebody gets an idea, when a  
8 physician gets an idea that a drug might work in a  
9 tumor that isn't currently under study, that is a  
10 little like a sort of dispersed Phase I study  
11 and/or it's a pilot study or something, and while  
12 it gets called compassionate use or something else  
13 like that, it really seems to me it is more similar  
14 to a Phase I study, but of a somewhat different  
15 kind.

16 Those things seem to me less troubling if  
17 they are individual because nobody expects that  
18 those are going to happen in every part of the  
19 country. There will be a certain number of people  
20 who, because of interest, want to do something that  
21 is not part of the system that the drug company has  
22 already set up.

23 It is when those start to become frequent  
24 and numerous--that's the same word--more frequent  
25 that you start to get the question of who is

1 entitled and who is not, and it is at that point  
2 that companies ideally would start thinking about  
3 whether they want to have a formal program and  
4 incorporate this into their trial.

5           So, it seems important to me to separate,  
6 take a try at this tumor that hasn't been studied  
7 before with all of the many other circumstances  
8 that lead to individual patient uses which do seem  
9 to bring questions of capriciousness to the fore.

10           DR. NERENSTONE: Bob, I don't want to  
11 argue semantics with the FDA, but really, don't you  
12 mean a dispersed Phase II, because they are not  
13 varying the dose, they are just studying it in a  
14 different tumor type?

15           DR. TEMPLE: I will buy that.

16           DR. NERENSTONE: The only reason I say  
17 that, I think the implications are significant  
18 because that implies that you have a dose that is  
19 being studied in someone in a Phase II manner. It  
20 is not a dose that we haven't had some experience  
21 with.

22           DR. TEMPLE: That is fair. I stand  
23 corrected. But conceptually, that seems different  
24 from the desire for people all over the country to  
25 take a last shot in a desperate case and they don't